



**CERTIFICATION**

# **AUDIT REPORT: SURANAREE UNIVERSITY OF TECHNOLOGY**

**TYPE OF VISIT(S):**

**STAGE 1 AUDIT 1.0 (UKAS - ISO 14001:2015)**

**CONTRACT NUMBER:**

**TH/BKK/34822**

**SGS**

<b>Organisation Name</b>	SURANAREE UNIVERSITY OF TECHNOLOGY		
<b>Head Office Address</b>	111 University Avenue, Suranaree Sub Distric, Muang District,		
<b>Representative</b>	Natcha Brownpaniith		
AUDIT CRITERIA			
Accreditation	Accredited SGS Office	Effective Number Of Personnel	Actual number of personnel
UKAS	SGS United Kingdom Limited	57	57
Standard/Scheme		Scope	
ISO 14001:2015		Library services, Audiovisual services and Document production services	

### SITES IN CERTIFICATION SCOPE

SITE 1 : The Center for Library Resources and Educational Media, Suranaree University of Technology - 111 University Avenue, Suranaree Sub-district, Muang District, Nakhon Ratchasima 30000, Thailand	
Standard/Scheme	Scope
ISO 14001:2015	Library services, Audiovisual services and Document production services

AUDIT TEAM ALLOCATION	
<b>Audit Team Leader</b>	Danai Siriangkawoot - Auditor
<b>Any Other Accompanying Person (Names &amp; Roles)</b>	
<b>Audit Date(s)</b>	14 Mar 2025
The Stage 1 (Or Part Of It) Has Been Carried Out At The Client Premises	
<b>Site(s) Audited</b>	The Center for Library Resources and Educational Media, Suranaree University of Technology : 111 University Avenue, Suranaree Sub-district, Muang District, Nakhon Ratchasima 30000, Thailand

### 1. AUDIT OBJECTIVES

The objectives of this audit/visit are, for the scope of certification:

- Review the client's management system documented information;

- Evaluate the client's site-specific conditions and to undertake discussions with the client's personnel to determine the preparedness for stage 2;
- Review the client's status and understanding regarding requirements of the standard, in particular with respect to the identification of key performance or significant aspects, processes, objectives and operation of the management system;
- Obtain necessary information regarding the scope of the management system, including: the client's site(s); processes and equipment used; levels of controls established (particularly in case of multisite clients); applicable statutory and regulatory requirements;
- Review the allocation of resources for stage 2 and agree the details of stage 2 with the client;
- Provide a focus for planning stage 2 by gaining a sufficient understanding of the client's management system and site operations in the context of the management system standard or other normative document;
- Evaluate if the internal audits and management reviews are being planned and performed, and that the level of implementation of the management system substantiates that the client is ready for stage 2.

#### CONSIDERATIONS:

- Audit documents are confidential and distribution is limited to the audit team, audit attendees, client representative and the SGS office.
- Audits use a sampling process, based on the information available at the time of the audit. The audit methods shall include, but are not limited to, interviews, observation of activities and review of documentation and records.
- A management system certification audit is not a legal compliance audit.

## 2. SUMMARY AND CONCLUSIONS

Objectives for this audit have been fulfilled

The stage 1 audit was limited in time and scope to the stated objectives and it is possible that additional weaknesses will be identified during future audit activities. With consideration to the findings identified in this report, the overall summary of the audit is as follows:

Client site specific conditions are adequate

Internal audits and management reviews are being planned and performed

Certification scope is appropriate

The level of implementation of the management system substantiates that the client is ready for stage 2.

Number of Findings identified	2 CRITICAL / 6 NON CRITICAL
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## 3. AUDIT FINDINGS

**Critical Findings** (If not adequately addressed could result in Major Non Conformities during Stage 2 audit) :

- No evidence of conducting the internal audit in year 2025.
- No evidence of conducting the management review in year 2025.

**Non Critical Findings** ( If not adequately addressed could result in Minor Non Conformities during Stage 2 audit) :

- No evidence of determining the environmental aspect in some activities performing by sub-contractor such as re-filling tone at document production, repairing of audiovisual equipment.
- No evidence of determining the environmental aspect in outsource activities such as document production, book repairing,
- No evidence of determining the environmental aspect in life cycle perspective such as material acquisition.
- Operational control/Wastewater - There is no evidence of maintenance for the aerators in the wastewater treatment equipment from the restrooms.

- Operational control/ Waste management - There is no evidence that the hazardous waste delivered to the organization has been properly managed, such as chemical containers, paint cans, and paint sludge (from the graphics room) that are sent to the Center of Excellence in Biomass.
- Emergency preparedness - It was found that emergency light and fire exit light at the BAANNASAN SATIT auditorium room broken.

#### **4. ADDITIONAL INFORMATION / COMMENTS**

Not applicable

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